IAP7 Rec'd PCT/PTO 26 JUL 2006

FORM PTO-1390 (REV. 12-2004) ... U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

SPL-06-1213

U.S. A.L.I. A. 10 NO. (15 50 fg., 6 37 CFR 1.5

INTERNATIONAL APPLICATION NO.

PCT/JP2004/017666

INTERNATIONAL FILING DATE
22 November 2004 (22.11.04)

PRIORITY DATE CLAIMED
28 January 2004 (28.01.04)

TITLE OF INVENTION

METHOD FOR PRODUCING ALDEHYDE COMPOUND OR KETONE COMPOUND BY USING MICROREACTOR

APPLICANTS FOR DO/EO/US

Kikuo Ataka, Hiroyuki Miyata, Tatsuya Kawaguchi, Junichi Yoshida and Kazuhiro Mae

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. x This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. __ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. x This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. __ The US has been elected (Article 31).
- 5. \underline{x} A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. __ is attached hereto (required only if not communicated by the International Bureau).
 - b. x has been communicated by the International Bureau.
 - c. _ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. x An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. x is attached hereto.
 - b. __ has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. __ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. __ are attached hereto (required only if not communicated by the International Bureau).
 - b. __ have been communicated by the International Bureau.
 - c. __ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. __ have not been made and will not be made.
- 8. __ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. __ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. x An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- 12. __ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- 13. x A preliminary amendment.
- 14. x An Application Data Sheet under 37 C.F.R. 1.76.
- 15. __ A substitute specification.
- 16. __ A power of attorney and/or change of address letter.
- 17. __ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 1.825.
- 18. __ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. __ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. __ Other items or information:

IAP11 Rec'd PCT/PTO 26 JUL 2006

U.S. APPL 1795 (8 if) no 3 6 3 9 FR 1.5)			INTERNATIONAL APPLICATION NO. PCT/JP2004/017666				ATTORNEY'S DOCKET NUMBER SPL-06-1213		
21. The following fees are submitted:									
■ a) Basic national fee							\$ 300.00		
■ b) Examination fee							\$ 200.00		
■ c) Search fee\$ 400.00							\$ 400.00		
TOTAL OF ABOVE CALCULATIONS = \$ 900.00							\$ 900.00		
☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra Sheets	Number of each addit thereof (round up to			Rate				
113 - 100 =	13/50 =	50			x \$250.00		\$ 250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$		
CLA	CLAIMS NU		NUMBER FILED NU		NUMBER EXTRA				
Total Claims		23 - 20		0		x \$ 50.00	\$ 150.00		
	Independent claims		1 - 3 =			x \$200.00	\$		
							\$		
							\$1,300.00	<u> </u>	
□ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							S		
SUBTOTAL =							\$1,300.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$		
							\$1,300.00		
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +								
TOTAL FEES ENCLOSED =							\$1,300.00		
							Amount to be refunded:	\$	
							Amount to be charged:	S	
b. Please chac. The Common So-2719. d. Fees are to included	arge my Deposit A missioner is hereb A duplicate copy to be charged to a on this form. Pr	y of this sheet is en credit card. WAI rovide credit card	2719 in the harge any anclosed. RNING: I informatio	amount of \$additional fees which Information on this for and authorization 1.494 or 1.495 has	th may be form may on PTO-2	required, or credit a become public. Cr 2038.	a duplicate copy of this says overpayment to Deport the card information service (37 CFR 1.137(a)	osit Account No.	
SEND ALL CORRESPONDENCE TO:							(02		
 	57 005011		bury, Reg. No. 31,750						
		•		formation is: Cary US LLP	ı				

One Liberty Place, Suite 4900 1650 Market Street Philadelphia, PA 19103

(215) 656-3381

10/587369 IAP11 Rec'd PCT/PTO 26 JUL 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

Customer No.: 035811

Docket No.: SPL-06-1213

Examiner

Serial No.

: Herewith

PCT No. PCT Filed

Filed

: PCT/JP2004/017666 : November 22, 2004

Inventors

: Kikuo Ataka

: Hiroyuki Miyata : Tatsuya Kawaguchi

: Junichi Yoshida : Kazuhiro Mae

Title

: METHOD FOR PRODUCING ALDEHYDE : COMPOUND OR KETONE COMPOUND

: BY USING MICROREACTOR

Dated: July 26, 2006

Confirmation No.:

MAIL STOP PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

37 CFR 1.10 **EXPRESS MAIL CERTIFICATION**

"Express Mail" mailing label number:

EV 548524500 US

Date of Deposit:

July 26, 2006

DESCRIPTION OF CONTENTS:

Postcard, \$1,300.00 Check, an Application Transmittal Letter, in duplicate, Form PTO-1390, in duplicate, an Application Data Sheet (1 page), a Preliminary Amendment, an English translation of the above-identified PCT Application as it was filed, including 108 pages of Specification, 4 pages of Claims and 1 page Abstract, copies of Form PCT/IB/308, the first page of WO 2005/073155 A1 and the International Search Report, and an executed Combined Decla-ration, Power of Attorney and Petition.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leslie Hood
(Typed or printed name of person mailing paper or fee)
(Signature of person mailing paper or fee)

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Dated: July 26, 2006

Confirmation No.:

APPLICATION TRANSMITTAL LETTER

MAIL STOP PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We submit herewith for filing in the U.S. Patent and Trademark Office Form PTO-1390, in duplicate, an Application Data Sheet (1 pages), a Preliminary Amendment, an English translation of the above-identified PCT Application as it was filed, including 108 pages of Specification, 4 pages of Claims and 1 page Abstract, copies of Form PCT/IB/308, the first page of WO 2005/073155 A1 and the International Search Report, an executed Combined Declaration, Power of Attorney and Petition, and our check in the amount of \$1,300.00, comprising the official filing fee.

Respectfully submitted,

T. Daniel Christenbury Reg. No. 31,750

Attorney for Applicants

TDC:lh

(215) 656-3381